

## SEC Reporting

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A public company has a fiduciary duty to comply with securities laws and regulations. We advise many publicly-traded entities on the legal obligations required to remain in compliance with securities law, including:

- Stock exchange rules
- Disclosure responsibilities
- SEC reporting obligations
- Corporate governance issues

Our clients rely on our counsel in all matters of corporate governance with a strategic eye on meeting the needs of the Board of Directors, the stockholders, the executive team and the company's customers. Our services encompass many aspects of corporate governance:

- Board of Directors structure, process and communications
- Sarbanes-Oxley and Dodd-Frank Acts compliance
- Executive compensation disclosures
- Audits and internal investigations